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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/719,540 | |
| | Filing Date | 11/20/2003 | |
| | First Named Inventor | Ron L. Hale | |
| | Art Unit | Not yet known | |
| | Examiner Name | To be assigned | |
| Total Number of Pages in This Submission | | Attorney Docket Number | 00063.01R |

| ENCLOSURES (check all that apply) | | |
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| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | Info. Discl. Statemetn (2 pp.) Form 1449 (3 copies, 2 pages each) Copy of 17 references Return Postcard | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---|
| Firm or Individual name | ALEXZA MDC, 1001 East Meadow Circle, Palo Alto, CA 94303 Elaine C. Stracker, Ph.D., J.D. Telephone (650)-687-3905 Customer Number 37485 |
| Signature | |
| Date | SEP. 24 2004 |


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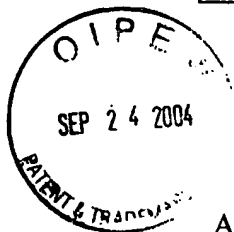
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Elaine C. Stracker



PATENT
Attorney's Docket No.: 00063.01R

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hale et al.
Serial No.: 10/719,540
Filed: 11/20/2003
Title Method for Treating Pain with Loxapine and Amoxapine

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:


In accordance with their continuing duty of disclosure under 37 CFR §§ 1.97, 1.98 and 1.56, Applicants hereby submit for consideration in the above-identified application the enclosed references. The Information Disclosure statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

For the convenience of the Examiner, the references are listed on a PTO Form 1449 (attached) and copies thereof are enclosed. Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including any extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 502731**. Please direct all correspondence to the following customer number.

Respectfully submitted,

Date: SEP. 24 2004


Elaine C. Stracker J.D., Ph.D., Reg. 43,166

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Form PTO-1449

Docket Number: 00063.01R

Application Number: 10/719,540

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Applicant:

Hale et al.

Filing Date: 11/20/2003

Group Art Unit: Net Yet Known



U.S. PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|----------|------------|--------------|-----------------|-------|----------|----------------------------|
| | 1. | 05/04/1999 | 5,900,249 | Smith | | | |
| | 2. | 07/27/1999 | 5,929,070 | Shannon et al. | | | |
| | 3. | 08/31/1999 | 5,945,416 | Shannon et al. | | | |
| | 4. | 04/03/2001 | 6,211,171 | Sawynok et al. | | | |
| | 5. | 07/10/2001 | 6,258,807 | Helton et al. | | | |
| | 6. | 09/18/2001 | 6,290,986 | Murdock et al. | | | |
| | 7. | 09/03/2002 | 6,444,665 | Helton et al. | | | |
| | 8. | 11/12/2002 | 6,479,074 | Murdock et al. | | | |
| | 9. | 10/28/2003 | 6,638,981 | Williams et al. | | | |

RELATED ALEXZA MOLECULAR DELIVERY CORPORATION PATENT DOCUMENTS

| | | | | | | | |
|--|-----|------------|----------------|-------------|--|--|--|
| | 10. | 11/20/2003 | US 2004/010148 | Hale et al. | | | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Country | Class | Subclass | Translation YES NO |
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OTHER DOCUMENTS

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|---|-------------------------------|--------------------------------|
| Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) | Docket Number: 00063.01R | Application Number: 10/719,540 |
| | Applicant: Hale et al. | |
| | Filing Date: 11/20/2003 | Group Art Unit: Net Yet Known |
| | | |

| Examiner Initials | Ref. No. | Title |
|----------------------|-------------|--|
| | 11. | McGee et al. (1979) "Phenothiazine Analgesia – Fact or Fantasy?" <i>American Journal of Hospital Pharmacy</i> Vol. 36: 633-640. |
| | 12. | Magnusson et al. (2000) "The Involvement of Dopamine in Nociception: the role of D1 and D2 Receptors in the Dorsolateral Striatum." <i>Brain Research</i> Vol. 855: 260-266. |
| | 13. | Schreiber et al. (1999) "The Atypical Neuroleptics Clozapine and Olanzapine Differ Regarding Their Antinociceptive Mechanisms and Potency" <i>Pharmacology Biochemistry and Behavior</i> Vol. 64 No. 1: 75-80. |
| | 14. | Hamon, et al. (1987) "Opioid Receptors and Neuropeptides in the CNS in Rats Treated Chronically with Amoxapine and Amitriptyline" <i>Neuropharmacology</i> Vol. 26 No. 6: 531-539. |
| | 15. | Pfeiffer, Ronald (1982) "Drugs for pain in the elderly" <i>Geriatrics</i> Vol. 37 No. 2: 67-76. |
| | 16. | Gleeson, et al. (1982) "Chlorpromazine Hyperalgesia Antagonizes Clonidine Analgesia, but Enhances Morphine Analgesia in Rats Tested in a Hot-Water Tail-Flick Paradigm" <i>Psychopharmacology</i> Vol. 78:141-146. |
| | 17. | Lynch, Mary E. (2001) "Antidepressants as analgesics: a review of randomized controlled trials" <i>J. Psychiatry Neuroscience</i> Vol. 26: 30-36. |
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